

## An example of finite-time singularities in the 3d Euler equations

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**1. Introduction.** The 3d Euler equations describe an ideal, incompressible fluid:

$$(1) \quad \partial_t v + v \cdot \nabla v = -\nabla \pi, \quad \operatorname{div} v = 0 \quad \text{in } \mathbb{R}^3 \times (0, T],$$

where  $v(x, t) = (v_1, v_2, v_3)$  denotes the velocity field, and  $\pi$  the pressure. Given  $C^\infty$  divergence-free initial data  $v(x, 0) = v_0$ ,  $v_0 \in L^2(\mathbb{R}^3)$ , it is an open question as to whether  $v(x, t)$  is regular at  $T = +\infty$  (cf. [C]).

In this paper, we shall construct a special class of weak solutions to the Euler equations which blow up at a finite time  $t = T$ . Our key idea is to search for

$$(2) \quad \begin{cases} v(x, t) = v_s + u(x, t), & \pi(x, t) = \pi_s + p(x, t), \\ \operatorname{div} v_s = \operatorname{div} u = 0, & v_0 = v_s^0 + u_0 \in H^m(\mathbb{R}^3), \quad m \geq 3. \end{cases}$$

Above  $(v_s, \pi_s)$  is the self-similarity transformation for the Euler equations:

$$(3) \quad v_s(x, t) = V(y)(T-t)^{-\alpha}, \quad \pi_s(x, t) = \Pi(y)(T-t)^{-2\alpha}, \quad y = x(T-t)^{-\beta},$$

where  $\beta \in [2/5, 1]$ ,  $\alpha + \beta = 1$ ,  $\alpha, \beta > 0$  and  $T < +\infty$ . Substituting (3) into (1), the profile velocity  $V(y)$  satisfies the system

$$(4) \quad \alpha V + \beta y \cdot \nabla V + V \cdot \nabla V + \nabla \Pi = 0, \quad \operatorname{div} V = 0.$$

For  $\alpha = \beta = 1/2$ , (4) is the limiting case of Leray's self-similar Navier-Stokes equations. If  $V(y) \not\equiv 0$  is found, then  $v_s$  given by (3) blows up at  $t = T$ , consistent with the criterion [CFM]. Hence our task is to find  $v(x, t) = v_s + u$  defined on  $\mathbb{R}^3 \times (0, T)$ , with  $u(x, t)$  being in an appropriate function space.

**2. Existence of self-similar solutions  $v_s$  in an exterior domain.** Let us begin with summarising a previous result:

**Proposition A.** (cf. Theorem 3C [H]). Let  $\alpha = \beta = 1/2$  and  $\Omega = \mathbb{R}^3 \setminus \bar{B}_1(0)$ . Denote by  $\sigma$  and  $\tau$  the unit normal and the unit tangent to  $\partial\Omega$ , respectively. Suppose that  $f \in W^{2,4}(\partial\Omega; \mathbb{R})$ ,  $g \in W^{3,4}(\partial\Omega; \mathbb{R})$ , and that these functions are small in appropriate norms. Then there exists a unique, stable solution  $(V, \Pi) \in C^1(\Omega; \mathbb{R}^3 \times \mathbb{R})$  to the system (4), satisfying the prescribed data

$$(5) \quad \begin{cases} \sigma \cdot V|_{\partial\Omega} = 0; \\ \sigma \cdot \operatorname{curl} V|_{\partial\Omega} = f(y), \quad \int_{\partial\Omega} f \, dy = 0; \\ ((V + \beta y) \times \operatorname{curl} V)_\tau|_{\partial\Omega} = \nabla_\tau g(y), \end{cases}$$

and vanishing at infinity  $V = \mathcal{O}(|y|^{-1})$ ,  $\nabla V = \mathcal{O}(|y|^{-2})$  as  $|y| \uparrow \infty$ .

Going back to the  $(x,t)$  variables, Proposition A asserts that a self-similar solution  $v_s(x,t) = V(y)/\hat{r}(t)$  exists on a set (the exterior of closed shrinking balls),

$$(6) \quad \Sigma = \bigcup_{t \in (0,T)} \widehat{\Omega}(t,n), \quad \widehat{\Omega}(t,n) = \{x \in \mathbb{R}^3 \setminus \{0\} : \hat{r}(t) \leq |x| < n \hat{r}(t)\},$$

where  $\hat{r}(t) = \sqrt{T-t}$ ,  $n \in \mathbb{N}$  sufficiently large. Let  $B_r$  be a ball in  $\mathbb{R}^3$  centred at the origin with radius  $r$ . The set  $\Sigma$  naturally suggests dividing  $\mathbb{R}^3 \times (0,T)$  into  $\Omega^I$  (the inner region, with “shrinking” spheres  $S_{\hat{r}(t)}$  as its boundary), and  $\Omega^{II}$  (the outer region):

$$(7) \quad \Omega^I := \bigcup_{t \in (0,T)} B_{\hat{r}(t)}, \quad \Omega^{II} := \mathbb{R}^3 \times (0,T) \setminus \overline{\Omega^I}, \quad S_{\hat{r}(t)} := \partial \widehat{\Omega}.$$

Since  $\Sigma \subseteq \Omega^{II}$ , we need to find other solutions  $u(x,t)$  to complete the problem.

**3. Construction of outer and inner solutions  $u(x,t)$ .** Given that  $v_s$  exists on the set  $\Sigma$  as in (6), substituting (2) into (1) and using (4), we have

$$(8) \quad \begin{cases} \partial_t u + u \cdot \nabla u + \nabla p = v_s \times \text{curl } u + u \times \text{curl } v_s - \nabla(u \cdot v_s), \\ \text{div } u = 0 \quad \text{in } \Omega^I \cup \Omega^{II}, \quad u(x,0) = u_0(x) := v_0 - v_s^0, \quad x \in \mathbb{R}^3 \\ \lim_{|x| \uparrow \infty} u(x,t) = 0 \quad t \in [0,T]. \end{cases}$$

First, we prove in **Lemma B** existence of  $u$  to (8) on  $\Omega^I$ ,  $\Omega^{II}$  as in (7). This is done by considering a natural boundary condition to Euler flows,  $\sigma \cdot u|_{\partial \widehat{\Omega}} = 0$ , then we are able to treat the outer and inner systems separately.

Having obtained an outer solution  $u^{II}$ , to find  $u^I$  on the inner region we impose

$$u^I|_{\partial \widehat{\Omega}} = u^{II}(x,t) + v_s|_{\partial \widehat{\Omega}}, \quad p^I|_{\partial \widehat{\Omega}} = p^{II}(x,t) + \pi_s|_{\partial \widehat{\Omega}},$$

requiring the continuity of velocities, and that of the pressure, across the boundary. Under this assumption, **Theorem C** states that (1) has at least one weak solution  $v = v_s + u$  on  $\mathbb{R}^3 \times (0,T)$ , which is further shown to become unbounded at a single point in space-time.

*Remark I.* By Lemma 4B [H] and Theorem C, one deduces that the weak solution  $v = v_s + u$  has finite energy:  $\int_{\mathbb{R}^3} |v(x,t)|^2 dx \leq \|v_0\|_{L^2(\mathbb{R}^3)}^2 \quad \forall 0 < t \leq T$ . (see also [M] for the localised vortex interaction.)

*Remark II.* Starting from smooth initial data of finite energy, it is demonstrated that the Euler equations on  $\mathbb{R}^3$  can develop a singularity as a sum  $v = v_s + u$ . Although singular scalings often observed in computations are close to that of  $v_s$ , it is clear that a full solution  $v$  needs not to have any definite self-similar forms.

### References

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